

## KEMET Corporation

### **CONFLICT MINERALS REPORT** for the reporting period from January 1 to December 31, 2021 ("Report")

Report Release Date: July 19, 2022

## INTRODUCTION

KEMET Corporation is a leading global manufacturer of electronic components. As used in this Report, the terms "we," "us," "our," "KEMET" and the "Company" refer to KEMET Corporation and its predecessors, subsidiaries and affiliates, unless the context indicates otherwise.

On June 15, 2020, YAGEO Corporation ("YAGEO") completed its acquisition of KEMET by acquiring all KEMET's outstanding shares of common stock, with KEMET surviving as a wholly owned subsidiary of YAGEO. As a result of the merger, KEMET is no longer a publicly traded company, and will no longer file a Specialized Disclosure with the U.S. Securities and Exchange Commission ("U.S. SEC"). KEMET is continuing to voluntarily publish this Report in order to publicly and transparently disclose its supply chain due diligence. YAGEO remains the ultimate parent company, while KEMET will continue as a brand under an umbrella referred to as YAGEO Group.

KEMET's product portfolio consists of polymer, tantalum, ceramic, film, and aluminum-electrolytic capacitors, as well as magnetics, sensors, and actuators. Additionally, KEMET manufactures non-passive electronic control boards.

This Report is developed to be conformant with section 1502 "Conflict Minerals" of the Dodd-Frank Wall Street Reform and Consumer Protection Act ("Dodd-Frank") due diligence requirements and published in accordance with the Organisation for Economic Co-operation and Development Due Diligence Guidance for Responsible Supply Chain of Minerals from Conflict-Affected and High-Risk Areas ("OECD Guidance").

For the reporting period from January 1 to December 31, 2021 ("Reporting Period"), KEMET conducted due diligence on the source and chain of custody of the cassiterite, columbite-tantalite, wolframite, and gold, or their derivatives tin, tantalum, and tungsten ("3TG" or "conflict minerals"), that were necessary to the functionality or production of the products ("necessary conflict minerals") that we manufactured or contracted to manufacture on or after January 1, 2021 to ascertain whether these conflict minerals originated in the Democratic Republic of Congo ("DRC") or an adjoining country as defined in Dodd-Frank ("Covered Countries") and financed or benefited armed groups in any of these Covered Countries. It is noted that manufacturing products during a defined period of time may naturally include materials sourced prior to and during the Reporting Period.

The reasonable country of origin inquiry and due diligence measures were applied to identified conflict mineral suppliers prior to and during the Reporting Period. Some conflict minerals utilized during the period were considered "outside the supply chain" under Dodd-Frank, meaning materials that were smelted (with respect to tin, tantalum, or tungsten) or fully refined (with respect to gold) prior to January 31, 2013, or materials that have not been smelted or fully refined but were located outside of the Covered Countries prior to January 31, 2013. As such, conflict minerals that were considered "outside the supply chain" are exempt pursuant to Dodd-Frank. However, for the purpose of this report, KEMET's due diligence measures did not exclude these materials or suppliers.

Below is a summary chart illustrating each of our product categories and the conflict minerals that are necessary to their functionality or production.

Product Category	Tantalum	Tin	Tungsten	Gold
Tantalum Capacitors	✓	●	×	●
Tantalum Polymer Capacitors	✓	●	×	●
Aluminum Polymer Capacitors	×	✓	×	×
Ceramic Capacitors	×	●	●	●
Film and Paper Capacitors	×	✓	×	●
Aluminum Electrolytic Capacitors	×	✓	×	●
Electric Double-Layered Capacitors	×	✓	×	×
Electrical Filters	×	✓	×	●
Electronic Control Boards	●	✓	●	●
Magnetics	×	✓	×	●
Sensors	×	✓	×	●
Actuators	×	✓	×	●

- ✓ Product category contains specified conflict minerals
- Product category contains specified conflict minerals depending on specific part type
- × Product category does not contain specified conflict minerals

KEMET has concluded in good faith that during 2021:

1. KEMET manufactured or contracted to manufacture products as to which conflict minerals are necessary to the functionality or production;
2. Tantalum material was sourced either directly through our vertically integrated tantalum supply chain or through external third-party suppliers. All tungsten, tin, and gold material was sourced from external third party suppliers; and
3. Based on a reasonable country of origin inquiry, KEMET knew or had reason to believe that a portion of its necessary conflict minerals originated or may have originated in the Covered Countries and knew or had reason to believe that those necessary conflict minerals may not be from recycle or scrap sources.

The results of our reasonable country of origin inquiry conducted on these conflict minerals were as follows:

- For tantalum, tin, and gold, KEMET determined a portion of the material came from recycle or scrap material. Further, we determined the country of origin for some recycle or scrap materials included a Covered Country.
- For tantalum not from recycle or scrap, we determined the country of origin for all materials and confirmed that the country of origin included a Covered Country.
- For tin not from recycle or scrap, despite diligent efforts, we were not able to determine the country of origin for all materials but did confirm a country of origin included a Covered Country.
- For gold not from recycle or scrap, despite diligent efforts, we were not able to determine the country of origin for all materials but did confirm a country of origin included a Covered Country.
- For tungsten, despite diligent efforts and because some tungsten necessary to the functionality or production of KEMET’s products was acquired in 2011 and considered to be “outside the supply chain” (or fully smelted), we were not able to determine the country of origin for all materials but did confirm a country of origin included a Covered Country.

Conflict Mineral	Countries of origin include a Covered Country?
Tantalum	Yes
Tin	Yes
Tungsten	Yes
Gold	Yes

## DUE DILIGENCE MEASURES

### Design of Our Due Diligence Measures

Our conflict minerals due diligence measures have been designed to conform with the “**OECD Guidance**,” as applicable for tin, tantalum, tungsten, and gold in all material respects. KEMET is both an “upstream” and “downstream” company. KEMET took an early leadership position in the industry in support of sourcing conflict-free material from the DRC and was instrumental in the development of the Kisengo Foundation. We designed both our upstream and downstream due diligence measures to:

1. Establish strong company management systems for conflict minerals supply chain due diligence and reporting compliance;
2. Identify and assess conflict minerals risks in our supply chain;
3. Design and implement strategies to respond to conflict minerals risks identified;
4. Contribute to independent third-party audits of the due diligence practices of conflict minerals smelters and refiners by participating in industry organizations; and
5. Report on our conflict minerals supply chain due diligence activities, as recommended by the OECD Guidance.

## Description of Due Diligence Performed as an Upstream Company

Based on the OECD Guidance, “upstream” refers to the mineral supply chain from the mine to the smelters/refiners. “Upstream companies” include miners (artisanal and small-scale or large-scale producers), local traders or exporters from the country of mineral origin, international concentrate traders, mineral re-processors and smelters/refiners.

The source and chain of custody KEMET’s upstream operations related to tantalum materials were audited and validated in conformance with the Responsible Minerals Assurance Process (“RMAP”). Our compliance status as well as the audit protocols and procedures are publicly available on the Responsible Minerals Initiative (“RMI”) website. Information on the RMI website or KEMET’s website referenced herein does not constitute part of this Report.

## Description of the Due Diligence Performed as a Downstream Company

Based on the OECD Guidance, “downstream” means the minerals supply chain from smelters/refiners to retailers. “Downstream companies” include metal traders and exchanges, component manufacturers, product manufacturers, original equipment manufacturers (OEMs) and retailers. Below, KEMET has described its due diligence activities that are in accordance with the five steps set forth in the OECD Guidance. These activities were performed during the Reporting Period.

### *Step 1: Establish strong company management systems.*

- A) To clearly communicate to suppliers and the public, KEMET maintained a formal company policy to avoid the use of conflict minerals which may directly or indirectly finance or benefit armed groups in the DRC or an adjoining country (“Supply Chain Policy”). The Supply Chain Policy is publicly available on our website and is included in KEMET’s purchase order terms and conditions. The Supply Chain Policy was communicated to conflict minerals raw material suppliers during the Reporting Period and to new raw material suppliers during our supplier “on boarding” process. The Supply Chain Policy applies to all KEMET’s suppliers of conflict minerals raw materials.
- B) To structure internal management and support supply chain due diligence, KEMET maintained in its internal Compliance Policy and Procedure CPP-500 and CPP-500A, a conflict minerals document formally stating that KEMET’s Sustainability Council (“SC”) has oversight and ownership of the Supply Chain Policy. The SC membership consists of a cross section of senior management led by Senior Vice President - Quality, Global Supply Chain, and Chief Compliance Officer. The SC met quarterly to address current and future sustainability objectives and concerns, as well as supply chain and conflict minerals due diligence efforts.
- C) To establish a system of controls and transparency over the conflict minerals supply chain as a downstream company, KEMET followed our internal quality and compliance procedures requiring suppliers to provide information on the smelters or refiners in their supply chain utilizing the RMI Conflict Minerals Reporting Template (“CMRT”). Records of suppliers’ responses were recorded and maintained. The information was used by KEMET to determine material conflict-free status. The information was also used to provide our customers with conflict minerals smelter or refiner information via the CMRT.
- D) To strengthen engagement with its suppliers, KEMET performed smelter outreach and participated in supply chain seminars and conferences. KEMET also participated in RMI conflict minerals discussion and work groups, including the Smelter Disposition Team, Due Diligence Practices Team, Minerals Reporting Templates Team, and others.

- E) KEMET had multiple communication channels available to serve as grievance mechanisms for early-warning risk awareness. Internally, KEMET provided an Ethics Hotline for its personnel to anonymously report possible violations of our Global Code of Conduct and other policies. This information was provided internally via KEMET's employee human resources platform and posted locally within KEMET facilities. The Ethics Hotline program was administered by a third-party firm which was not connected to KEMET. Externally, contact information for reporting possible violations was made available through KEMET's public website (www.kemet.com). KEMET also actively participated in the following industry or multi-stakeholder group(s) which served as an early-warning risk-awareness system.

<b>Group</b>	<b>Participation Status</b>
<i>Organisation for Economic Co-Operation and Development (OECD)</i>	<i>Participant</i>
<i>Responsible Minerals Initiative (RMI)</i>	<i>Member</i>
<i>RMI Steering Committee</i>	<i>Joel Sherman Senior Director – Compliance &amp; Sustainability (Served on RMI Steering Committee during 2021)</i>
<i>International Tin Research Institute Tin Supply Chain Initiative (ITSCI)</i>	<i>Member</i>
<i>Tantalum-Niobium International Study Center (TIC)</i>	<i>Member</i>

*Step 2: Identify and assess risk in the supply chain.*

- A) For the purpose of identifying risks, KEMET utilized the CMRT to obtain smelter or refiner information from suppliers of raw materials which contained a conflict mineral.
- B) To assess risk, KEMET reviewed the supplier CMRT responses for completeness and for reasonableness, *i.e.* 1) a response does not contain contradictions or inconsistencies and/or 2) the response is consistent with KEMET's knowledge of the supplier's business. KEMET followed up with suppliers who were unresponsive or required additional clarification.

*Step 3: Design and implement a strategy to respond to identified risks.*

- A) KEMET reported findings of supply chain risk to senior management through quarterly and monthly business review meetings.
- B) The risk management plan adopted by KEMET was in accordance with the Supply Chain Policy to discontinue doing business with any supplier found to be purchasing tungsten, tantalum, tin, or gold material which directly or indirectly finances or benefits armed groups in the DRC or an adjoining country. KEMET understood the global supply chain of conflict minerals is complex and disclosure of mineral sources is often considered confidential. When potential risks were discovered, KEMET communicated with the smelter or refiner to address the potential risks.
- C) To monitor and track performance of risk management efforts, KEMET relied on supplier survey updates and supplier RMI updates. The status was communicated internally to conflict minerals team members in periodic meetings.

- D) To undertake additional fact and risk assessments for risks requiring mitigation or after a change of circumstances, KEMET relied on the supplier approval status as governed by its compliance and quality procedures.

*Step 4: Carry out independent third-party audit of supply chain due diligence at identified points in the supply chain.*

KEMET relied on the RMAP independent third-party audits to supplement our internal due diligence of conflict minerals suppliers and monitored the progress of these audits to help determine the conflict-free status of our supply chain. This includes refiners that have successfully completed a cross-recognized assessment with either the London Bullion Market Association (LBMA) or Responsible Jewelry Council (RJC). As an RMI member, KEMET worked with other members to identify smelters in the supply chain and encouraged suppliers and customers to participate in the program. The data on which we relied for certain statements in this Report was obtained through our membership in the RMI, using the Reasonable Country of Origin Inquiry report for member ID: "KMET."

*Step 5: Report on supply chain due diligence.*

KEMET's Supply Chain Policy outlining our due diligence objectives and practices, as well as KEMET's prior year conflict minerals reports have been made publicly available. Although KEMET is no longer filing a Specialized Disclosure with the U.S. SEC, KEMET will publish this Report on our website. KEMET's website is [www.kemet.com](http://www.kemet.com).

## **DUE DILIGENCE DETERMINATION**

### Summary of Due Diligence Measures Performed

KEMET's reasonable country of origin inquiry ("RCOI") and due diligence employed a combination of measures to determine whether the necessary conflict minerals in KEMET's products originated from the Covered Countries. Our due diligence measures included the following activities:

1. KEMET surveyed all identified suppliers of raw materials which contained a conflict mineral to ascertain for each of the supplied conflict minerals (a) the smelter or refiner where it was processed and (b) its country of origin. The survey was conducted using the RMI CMRT. KEMET accepted supplier CMRT data through March 31, 2022 for the Reporting Period.
2. KEMET's tantalum smelting and refining facilities were audited and validated as RMAP conformant. In addition, KEMET sourced its downstream externally supplied tantalum material only from RMAP conformant smelters.
3. As a member company of the RMI, we leveraged the due diligence conducted by the RMAP of smelters and refiners. Developed by the RMI, the RMAP is a voluntary initiative in which an independent third party auditor audits smelter and refiner procurement and tolling activities and determines if the smelter or refiner demonstrated that all the minerals they processed originated from conflict-free sources.

## Results of RCOI and Due Diligence Measures

KEMET is voluntarily providing disclosure as to the conflict-free status of its products in an effort to provide greater transparency over KEMET's products.

### *DRC Conflict Free Products:*

We have designated our products as DRC Conflict Free if we were able to reasonably determine that they do not contain conflict minerals necessary to their functionality or production that directly or indirectly finance or benefit armed groups in a Covered Country, or that are obtained from recycled or scrap sources, all as further defined by applicable U.S. SEC rules. KEMET's products manufactured in the Reporting Period were determined to be DRC Conflict Free if (a) all KEMET's external third party suppliers who contributed necessary conflict minerals to those products provided a response to the supply chain survey confirming they had identified all of the smelters or refiners in their supply chain and (b) all of those smelters/refiners were either RMAP conformant or sourced outside the Covered Countries.

As a result of the RCOI and due diligence conducted as described above and in accordance with the Rule as originally promulgated, KEMET has determined the following product categories to be DRC Conflict Free for the Reporting Period.

### DRC Conflict Free:

- Aluminum Polymer Capacitors
- Tantalum Capacitors
- Tantalum Polymer Capacitors

KEMET has insufficient information from suppliers or other sources regarding all smelters and refiners that processed the necessary conflict minerals to make a determination for the following product categories ("Other Product Categories"). KEMET further identifies the known facilities used to process the necessary conflict minerals and country of origin in Table 1 hereunder.

### Other Product Categories:

- Ceramic Capacitors
- Electric Double-Layered Capacitors
- Electrical Filters
- Electrolytic Capacitors
- Electronic Control Boards
- Film and Paper Capacitors
- Magnetics
- Sensors
- Actuators

*Note: In this Report, KEMET is utilizing comprehensive, top-level product categories for brevity. Certain specific products and/or product part numbers which are contained within the broad product categories listed above are DRC Conflict Free. The country of origin information is based on the RMI Conformant Smelter Sourcing Information report dated March 25, 2022.*

Smelter and refiner RMAP conformance status was determined utilizing the publicly available RMI Conformant Smelters and Refiners List and RMI member data. A total of **290** smelter and refiner facilities were identified by our suppliers. As of December 31, 2021:

- 193 were conformant to the RMAP
- 15 were actively participating in the RMAP
- 18 were no longer operational
- 64 were non-conformant to the RMAP

The charts below provide a summary of the RMAP status of the operational smelter and refiner facilities by conflict mineral:

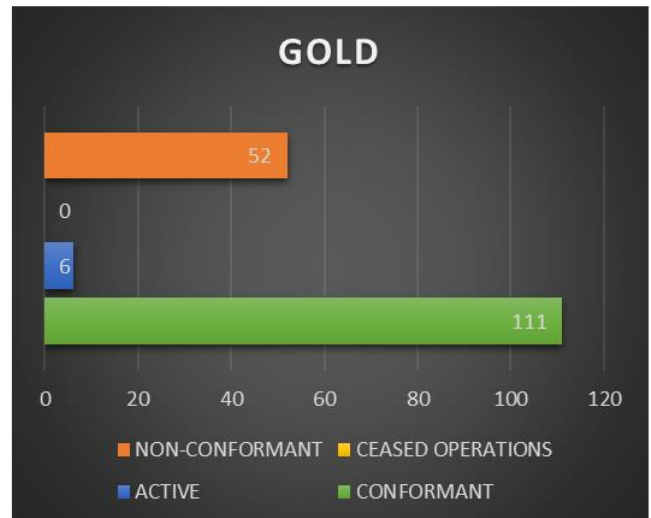
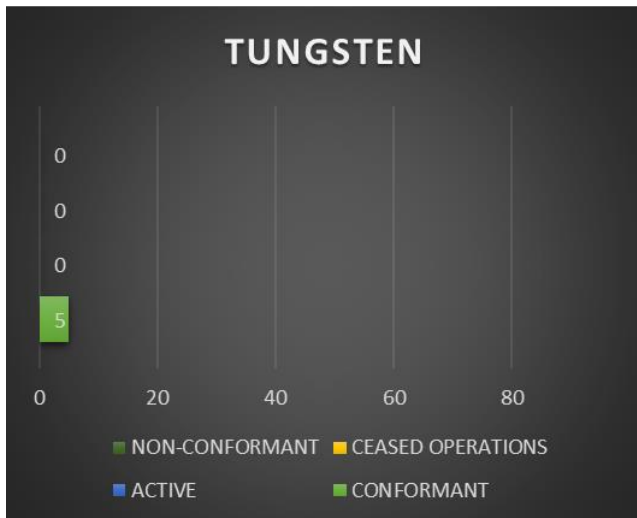
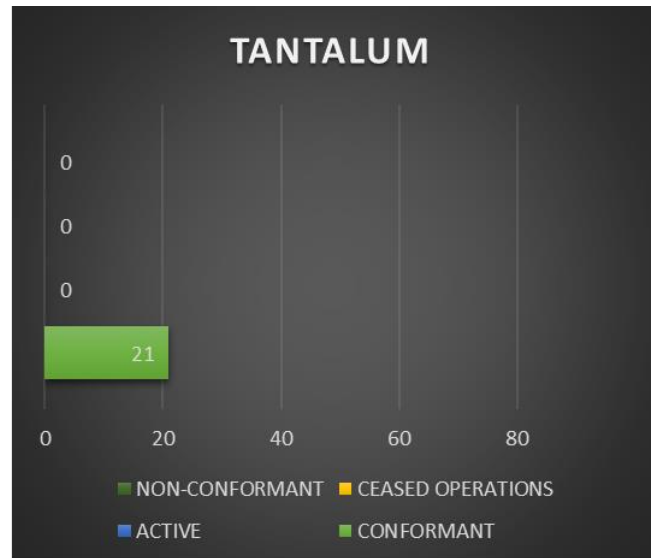
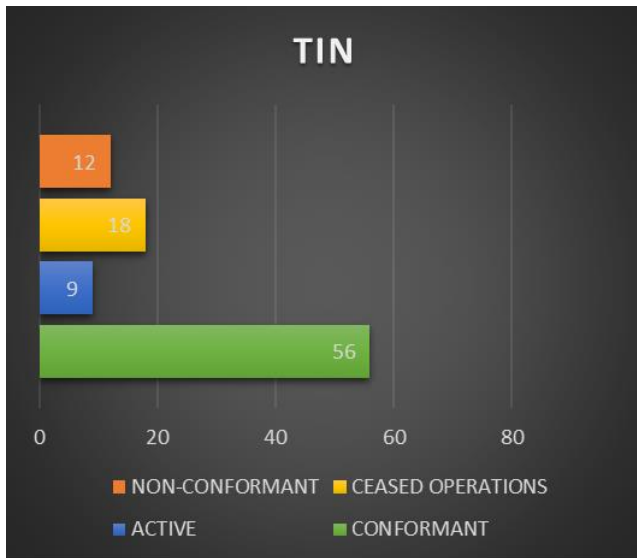




Table 1 below lists the known smelter and refiner facilities that processed the necessary conflict minerals in KEMET's products:

**Table 1:**

	Mineral	Smelter or Refiner Facility Name	Location of Facility	Smelter ID	Other Product Categories
1	Gold	8853 S.p.A.	Italy	CID002763	√
2	Gold	Abington Reldan Metals, LLC <sup>1</sup>	United States of America	CID002708	√
3	Gold	Advanced Chemical Company	United States of America	CID000015	√
4	Gold	African Gold Refinery <sup>3</sup>	Uganda	CID003185	√
5	Gold	Agosi AG	Germany	CID000035	√
6	Gold	Aida Chemical Industries Co., Ltd.	Japan	CID000019	√
7	Gold	Al Etihad Gold Refinery DMCC	United Arab Emirates	CID002560	√
8	Gold	Alexy Metals <sup>1</sup>	United States of America	CID003500	√
9	Gold	Almalyk Mining and Metallurgical Complex (AMMC)	Uzbekistan	CID000041	√
10	Gold	AngloGold Ashanti Corrego do Sitio Mineracao	Brazil	CID000058	√
11	Gold	Argor-Heraeus S.A.	Switzerland	CID000077	√
12	Gold	Asahi Pretec Corp.	Japan	CID000082	√
13	Gold	Asahi Refining Canada Ltd.	Canada	CID000924	√
14	Gold	Asahi Refining USA Inc.	United States of America	CID000920	√
15	Gold	Asaka Riken Co., Ltd.	Japan	CID000090	√
16	Gold	Atasay Kuyumculuk Sanayi Ve Ticaret A.S. <sup>3</sup>	Turkey	CID000103	√
17	Gold	AU Traders and Refiners	South Africa	CID002850	√
18	Gold	Augmont Enterprises Private Limited <sup>1</sup>	India	CID003461	√
19	Gold	Aurubis AG	Germany	CID000113	√
20	Gold	Bangalore Refinery	India	CID002863	√
21	Gold	Bangko Sentral ng Pilipinas (Central Bank of the Philippines)	Philippines	CID000128	√
22	Gold	Boliden AB	Sweden	CID000157	√
23	Gold	C. Hafner GmbH + Co. KG	Germany	CID000176	√
24	Gold	C.I Metales Procesados Industriales SAS <sup>1</sup>	Colombia	CID003421	√
25	Gold	Caridad <sup>3</sup>	Mexico	CID000180	√
26	Gold	CCR Refinery - Glencore Canada Corporation	Canada	CID000185	√
27	Gold	Cendres + Metaux S.A.	Switzerland	CID000189	√
28	Gold	CGR Metalloys Pvt Ltd. <sup>3</sup>	India	CID003382	√
29	Gold	Chimet S.p.A.	Italy	CID000233	√
30	Gold	Chugai Mining	Japan	CID000264	√
31	Gold	Daye Non-Ferrous Metals Mining Ltd. <sup>3</sup>	China	CID000343	√
32	Gold	Degussa Sonne / Mond Goldhandel GmbH <sup>3</sup>	Germany	CID002867	√
33	Gold	Dijllah Gold Refinery FZC <sup>3</sup>	United Arab Emirates	CID003348	√
34	Gold	DODUCO Contacts and Refining GmbH	Germany	CID000362	√
35	Gold	Dowa	Japan	CID000401	√

36	Gold	DSC (Do Sung Corporation)	Korea, Republic of	CID000359	√
37	Gold	Eco-System Recycling Co., Ltd. East Plant	Japan	CID000425	√
38	Gold	Eco-System Recycling Co., Ltd. North Plant	Japan	CID003424	√
39	Gold	Eco-System Recycling Co., Ltd. West Plant	Japan	CID003425	√
40	Gold	Emerald Jewel Industry India Limited (Unit 1) 3	India	CID003487	√
41	Gold	Emerald Jewel Industry India Limited (Unit 2) 3	India	CID003488	√
42	Gold	Emerald Jewel Industry India Limited (Unit 3) 3	India	CID003489	√
43	Gold	Emerald Jewel Industry India Limited (Unit 4) 3	India	CID003490	√
44	Gold	Emirates Gold DMCC	United Arab Emirates	CID002561	√
45	Gold	Fidelity Printers and Refiners Ltd. 3	Zimbabwe	CID002515	√
46	Gold	Fujairah Gold FZC 3	United Arab Emirates	CID002584	√
47	Gold	Geib Refining Corporation	United States of America	CID002459	√
48	Gold	GGC Gujrat Gold Centre Pvt. Ltd. 1	India	CID002852	√
49	Gold	Gold Coast Refinery 3	Ghana	CID003186	√
50	Gold	Gold Refinery of Zijin Mining Group Co., Ltd.	China	CID002243	√
51	Gold	Great Wall Precious Metals Co., Ltd. of CBPM 3	China	CID001909	√
52	Gold	Guangdong Jinding Gold Limited 3	China	CID002312	√
53	Gold	Guoda Safina High-Tech Environmental Refinery Co., Ltd. 3	China	CID000651	√
54	Gold	Hangzhou Fuchunjiang Smelting Co., Ltd. 3	China	CID000671	√
55	Gold	Heimerle + Meule GmbH	Germany	CID000694	√
56	Gold	Heraeus Germany GmbH Co. KG	Germany	CID000711	√
57	Gold	Heraeus Metals Hong Kong Ltd.	China	CID000707	√
58	Gold	Hunan Chenzhou Mining Co., Ltd. 3	China	CID000767	√
59	Gold	Hunan Guiyang yinxing Nonferrous Smelting Co., Ltd. 3	China	CID000773	√
60	Gold	HwaSeong CJ CO., LTD. 3	Korea, Republic of	CID000778	√
61	Gold	Industrial Refining Company 3	Belgium	CID002587	√
62	Gold	Inner Mongolia Qiankun Gold and Silver Refinery Share Co., Ltd.	China	CID000801	√
63	Gold	International Precious Metal Refiners 3	United Arab Emirates	CID002562	√
64	Gold	Ishifuku Metal Industry Co., Ltd.	Japan	CID000807	√
65	Gold	Istanbul Gold Refinery	Turkey	CID000814	√
66	Gold	Italpreziosi	Italy	CID002765	√
67	Gold	JALAN & Company 3	India	CID002893	√
68	Gold	Japan Mint	Japan	CID000823	√
69	Gold	Jiangxi Copper Co., Ltd.	China	CID000855	√
70	Gold	JSC Ekaterinburg Non-Ferrous Metal Processing Plant 3	Russian Federation	CID000927	√
71	Gold	JSC Novosibirsk Refinery	Russian Federation	CID000493	√
72	Gold	JSC Uralelectromed	Russian Federation	CID000929	√
73	Gold	JX Nippon Mining & Metals Co., Ltd.	Japan	CID000937	√
74	Gold	K.A. Rasmussen 3	Norway	CID003497	√

75	Gold	Kaloti Precious Metals <sup>3</sup>	United Arab Emirates	CID002563	√
76	Gold	Kazakhmys Smelting LLC	Kazakhstan	CID000956	√
77	Gold	Kazzinc	Kazakhstan	CID000957	√
78	Gold	Kennecott Utah Copper LLC	United States of America	CID000969	√
79	Gold	KGHM Polska Miedz Spolka Akcyjna	Poland	CID002511	√
80	Gold	Kojima Chemicals Co., Ltd.	Japan	CID000981	√
81	Gold	Korea Zinc Co., Ltd.	Korea, Republic of	CID002605	√
82	Gold	Kundan Care Products Ltd. <sup>3</sup>	India	CID003463	√
83	Gold	Kyrgyzaltyn JSC <sup>3</sup>	Kyrgystan	CID001029	√
84	Gold	Kyshtym Copper-Electrolytic Plant ZAO <sup>3</sup>	Russian Federation	CID002865	√
85	Gold	Lingbao Gold Co., Ltd. <sup>3</sup>	China	CID001056	√
86	Gold	Lingbao Jinyuan Tonghui Refinery Co., Ltd. <sup>3</sup>	China	CID001058	√
87	Gold	L'Orfebre S.A.	Andorra	CID002762	√
88	Gold	LS-NIKKO Copper Inc.	Korea, Republic of	CID001078	√
89	Gold	LT Metal Ltd.	Korea, Republic of	CID000689	√
90	Gold	Luoyang Zijin Yinhuai Gold Refinery Co., Ltd. <sup>3</sup>	China	CID001093	√
91	Gold	Marsam Metals	Brazil	CID002606	√
92	Gold	Materion	United States of America	CID001113	√
93	Gold	Matsuda Sangyo Co., Ltd.	Japan	CID001119	√
94	Gold	MD Overseas <sup>3</sup>	India	CID003548	√
95	Gold	Metal Concentrators SA (Pty) Ltd.	South Africa	CID003575	√
96	Gold	Metallix Refining Inc. <sup>3</sup>	United States of America	CID003557	√
97	Gold	Metalor Technologies (Hong Kong) Ltd.	China	CID001149	√
98	Gold	Metalor Technologies (Singapore) Pte., Ltd.	Singapore	CID001152	√
99	Gold	Metalor Technologies (Suzhou) Ltd.	China	CID001147	√
100	Gold	Metalor Technologies S.A.	Switzerland	CID001153	√
101	Gold	Metalor USA Refining Corporation	United States of America	CID001157	√
102	Gold	Metalurgica Met-Mex Penoles S.A. De C.V.	Mexico	CID001161	√
103	Gold	Mitsubishi Materials Corporation	Japan	CID001188	√
104	Gold	Mitsui Mining and Smelting Co., Ltd.	Japan	CID001193	√
105	Gold	MKS PAMP SA	Switzerland	CID001352	√
106	Gold	MMTC-PAMP India Pvt., Ltd.	India	CID002509	√
107	Gold	Modeltech Sdn Bhd <sup>3</sup>	Malaysia	CID002857	√
108	Gold	Morris and Watson <sup>3</sup>	New Zealand	CID002282	√
109	Gold	Moscow Special Alloys Processing Plant	Russian Federation	CID001204	√
110	Gold	Nadir Metal Rafineri San. Ve Tic. A.S.	Turkey	CID001220	√
111	Gold	Navoi Mining and Metallurgical Combinat	Uzbekistan	CID001236	√
112	Gold	NH Recytech Company	Korea, Republic of	CID003189	√
113	Gold	Nihon Material Co., Ltd.	Japan	CID001259	√
114	Gold	Ogussa Osterreichische Gold- und Silber-Scheideanstalt GmbH	Austria	CID002779	√

115	Gold	Ohura Precious Metal Industry Co., Ltd.	Japan	CID001325	√
116	Gold	OJSC "The Gulidov Krasnoyarsk Non-Ferrous Metals Plant" (OJSC Krastsvetmet)	Russian Federation	CID001326	√
117	Gold	Pease & Curren <sup>3</sup>	United States of America	CID002872	√
118	Gold	Penglai Penggang Gold Industry Co., Ltd.	China	CID001362	√
119	Gold	Planta Recuperadora de Metales SpA	Chile	CID002919	√
120	Gold	Prioksky Plant of Non-Ferrous Metals	Russian Federation	CID001386	√
121	Gold	PT Aneka Tambang (Persero) Tbk	Indonesia	CID001397	√
122	Gold	PX Precinox S.A.	Switzerland	CID001498	√
123	Gold	QG Refining, LLC <sup>3</sup>	United States of America	CID003324	√
124	Gold	Rand Refinery (Pty) Ltd.	South Africa	CID001512	√
125	Gold	Refinery of Seemine Gold Co., Ltd. <sup>3</sup>	China	CID000522	√
126	Gold	REMONDIS PMR B.V.	Netherlands	CID002582	√
127	Gold	Royal Canadian Mint	Canada	CID001534	√
128	Gold	SAAMP	France	CID002761	√
129	Gold	Sabin Metal Corp.	United States of America	CID001546	√
130	Gold	Safimet S.p.A	Italy	CID002973	√
131	Gold	SAFINA A.S.	Czechia	CID002290	√
132	Gold	Sai Refinery <sup>3</sup>	India	CID002853	√
133	Gold	Samduck Precious Metals	Korea, Republic of	CID001555	√
134	Gold	Samwon Metals Corp. <sup>3</sup>	Korea, Republic of	CID001562	√
135	Gold	Sancus ZFS (L'Orfebre, SA) <sup>1</sup>	Colombia	CID003529	√
136	Gold	SAXONIA Edelmetalle GmbH	Germany	CID002777	√
137	Gold	Sellem Industries Ltd. <sup>3</sup>	Mauritania	CID003540	√
138	Gold	SEMPSA Joyeria Plateria S.A.	Spain	CID001585	√
139	Gold	Shandong Gold Smelting Co. Ltd	China	CID001916	√
140	Gold	Shandong Humon Smelting Co., Ltd. <sup>3</sup>	China	CID002525	√
141	Gold	Shandong Tiancheng Biological Gold Industrial Co., Ltd. <sup>3</sup>	China	CID001619	√
142	Gold	Shandong Zhaojin Gold & Silver Refinery Co., Ltd.	China	CID001622	√
143	Gold	Shenzhen Zhonghenglong Real Industry Co., Ltd. <sup>3</sup>	China	CID002527	√
144	Gold	Shirpur Gold Refinery Ltd. <sup>3</sup>	India	CID002588	√
145	Gold	Sichuan Tianze Precious Metals Co., Ltd.	China	CID001736	√
146	Gold	Singway Technology Co., Ltd.	Taiwan, Province of China	CID002516	√
147	Gold	SOE Shyolkovsky Factory of Secondary Precious Metals	Russian Federation	CID001756	√
148	Gold	Solar Applied Materials Technology Corp.	Taiwan, Province of China	CID001761	√
149	Gold	Sovereign Metals <sup>3</sup>	India	CID003383	√
150	Gold	State Research Institute Center for Physical Sciences and Technology <sup>3</sup>	Lithuania	CID003153	√
151	Gold	Sudan Gold Refinery <sup>3</sup>	Sudan	CID002567	√
152	Gold	Sumitomo Metal Mining Co., Ltd.	Japan	CID001798	√
153	Gold	SungEel HiMetal Co., Ltd.	Korea, Republic of	CID002918	√

154	Gold	T.C.A S.p.A	Italy	CID002580	√
155	Gold	Tanaka Kikinzoku Kogyo K.K.	Japan	CID001875	√
156	Gold	Tokuriki Honten Co., Ltd.	Japan	CID001938	√
157	Gold	Tongling Nonferrous Metals Group Co., Ltd. <sup>3</sup>	China	CID001947	√
158	Gold	TOO Tau-Ken-Altyn	Kazakhstan	CID002615	√
159	Gold	Torecom	Korea, Republic of	CID001955	√
160	Gold	Umicore Precious Metals Thailand	Thailand	CID002314	√
161	Gold	Umicore S.A. Business Unit Precious Metals Refining	Belgium	CID001980	√
162	Gold	United Precious Metal Refining, Inc.	United States of America	CID001993	√
163	Gold	Valcambi S.A.	Switzerland	CID002003	√
164	Gold	Western Australian Mint (T/a The Perth Mint)	Australia	CID002030	√
165	Gold	WIELAND Edelmetalle GmbH	Germany	CID002778	√
166	Gold	Yamakin Co., Ltd.	Japan	CID002100	√
167	Gold	Yokohama Metal Co., Ltd.	Japan	CID002129	√
168	Gold	Yunnan Copper Industry Co., Ltd. <sup>3</sup>	China	CID000197	√
169	Gold	Zhongyuan Gold Smelter of Zhongjin Gold Corporation	China	CID002224	√
1	Tantalum	D Block Metals, LLC	United States of America	CID002504	
2	Tantalum	Exotech Inc.	United States of America	CID000456	
3	Tantalum	F&X Electro-Materials Ltd.	China	CID000460	
4	Tantalum	FIR Metals & Resource Ltd.	China	CID002505	
5	Tantalum	Global Advanced Metals Boyertown	United States of America	CID002557	
6	Tantalum	H.C. Starck Hermsdorf GmbH	Germany	CID002547	
7	Tantalum	Hengyang King Xing Lifeng New Materials Co., Ltd.	China	CID002492	
8	Tantalum	JiuJiang JinXin Nonferrous Metals Co., Ltd.	China	CID000914	
9	Tantalum	Jiujiang Tanbre Co., Ltd.	China	CID000917	
10	Tantalum	KEMET de Mexico	Mexico	CID002539	
11	Tantalum	Materion Newton Inc.	United States of America	CID002548	
12	Tantalum	Meta Materials	North Macedonia, Republic of	CID002847	
13	Tantalum	Mineracao Taboca S.A.	Brazil	CID001175	
14	Tantalum	Ningxia Orient Tantalum Industry Co., Ltd.	China	CID001277	
15	Tantalum	NPM Silmet AS	Estonia	CID001200	
16	Tantalum	Taniobis Co Ltd	Thailand	CID002544	
17	Tantalum	TANIOBIS Japan Co. Ltd.	Japan	CID002549	
18	Tantalum	TANIOBIS Smelting GmbH & Co. KG	Germany	CID002550	
19	Tantalum	Telex Metals	United States of America	CID001891	
20	Tantalum	Ulba Metallurgical Plant JSC	Kazakhstan	CID001969	
21	Tantalum	XIMEI RESOURCES (GUANGDONG) LIMITED	China	CID000616	
1	Tin	Alpha	United States of America	CID000292	√
2	Tin	An Vinh Joint Stock Mineral Processing Company <sup>3</sup>	Vietnam	CID002703	√
3	Tin	Aurubis Beerse	Belgium	CID002773	√

4	Tin	Aurubis Berango	Spain	CID002774	√
5	Tin	Chenzhou Yunxiang Mining and Metallurgy Co., Ltd.	China	CID000228	√
6	Tin	Chifeng Dajingzi Tin Industry Co., Ltd.	China	CID003190	√
7	Tin	China Tin Group Co., Ltd.	China	CID001070	√
8	Tin	CRM Fundicao De Metais E Comercio De Equipamentos Eletronicos Do Brasil Ltda <sup>1</sup>	Brazil	CID003486	√
9	Tin	CRM Synergies	Spain	CID003524	√
10	Tin	CV Ayi Jaya <sup>2</sup>	Indonesia	CID002570	√
11	Tin	CV Dua Sekawan <sup>2</sup>	Indonesia	CID002592	√
12	Tin	CV Gita Pesona <sup>2</sup>	Indonesia	CID000306	√
13	Tin	CV United Smelting <sup>2</sup>	Indonesia	CID000315	√
14	Tin	CV Venus Inti Perkasa <sup>1</sup>	Indonesia	CID002455	√
15	Tin	Dongguan CiEXPO Environmental Engineering Co., Ltd. <sup>3</sup>	China	CID003356	√
16	Tin	Dowa	Japan	CID000402	√
17	Tin	Electro-Mechanical Facility of the Cao Bang Minerals & Metallurgy Joint Stock Company <sup>3</sup>	Vietnam	CID002572	√
18	Tin	EM Vinto	Bolivia (Plurinational State of)	CID000438	√
19	Tin	Estanho de Rondonia S.A.	Brazil	CID000448	√
20	Tin	Fabrica Auricchio Industria e Comercio Ltda.	Brazil	CID003582	√
21	Tin	Fenix Metals	Poland	CID000468	√
22	Tin	Gejiu City Fuxiang Industry and Trade Co., Ltd. <sup>3</sup>	China	CID003410	√
23	Tin	Gejiu Fengming Metallurgy Chemical Plant <sup>2</sup>	China	CID002848	√
24	Tin	Gejiu Kai Meng Industry and Trade LLC <sup>2</sup>	China	CID000942	√
25	Tin	Gejiu Non-Ferrous Metal Processing Co., Ltd.	China	CID000538	√
26	Tin	Gejiu Yunxin Nonferrous Electrolysis Co., Ltd.	China	CID001908	√
27	Tin	Gejiu Zili Mining And Metallurgy Co., Ltd.	China	CID000555	√
28	Tin	Guangdong Hanhe Non-Ferrous Metal Co., Ltd.	China	CID003116	√
29	Tin	Guanyang Guida Nonferrous Metal Smelting Plant <sup>2</sup>	China	CID002849	√
30	Tin	HuiChang Hill Tin Industry Co., Ltd.	China	CID002844	√
31	Tin	Huichang Jinshunda Tin Co., Ltd. <sup>2</sup>	China	CID000760	√
32	Tin	Jiangxi New Nanshan Technology Ltd.	China	CID001231	√
33	Tin	Luna Smelter, Ltd.	Rwanda	CID003387	√
34	Tin	Ma'anshan Weitai Tin Co., Ltd.	China	CID003379	√
35	Tin	Magnu's Minerais Metais e Ligas Ltda.	Brazil	CID002468	√
36	Tin	Malaysia Smelting Corporation (MSC)	Malaysia	CID001105	√
37	Tin	Melt Metais e Ligas S.A.	Brazil	CID002500	√
38	Tin	Metallic Resources, Inc.	United States of America	CID001142	√
39	Tin	Mineracao Taboca S.A.	Brazil	CID001173	√
40	Tin	Minsur	Peru	CID001182	√
41	Tin	Mitsubishi Materials Corporation	Japan	CID001191	√

42	Tin	Modeltech Sdn Bhd	Malaysia	CID002858	√
43	Tin	Nghe Tinh Non-Ferrous Metals Joint Stock Company <sup>3</sup>	Vietnam	CID002573	√
44	Tin	Novosibirsk Tin Combine	Russian Federation	CID001305	√
45	Tin	O.M. Manufacturing (Thailand) Co., Ltd.	Thailand	CID001314	√
46	Tin	O.M. Manufacturing Philippines, Inc.	Philippines	CID002517	√
47	Tin	Operaciones Metalurgicas S.A.	Bolivia (Plurinational State of)	CID001337	√
48	Tin	Pongpipat Company Limited <sup>3</sup>	Myanmar	CID003208	√
49	Tin	Precious Minerals and Smelting Limited <sup>3</sup>	India	CID003409	√
50	Tin	PT Aries Kencana Sejahtera <sup>1</sup>	Indonesia	CID000309	√
51	Tin	PT Artha Cipta Langgeng	Indonesia	CID001399	√
52	Tin	PT ATD Makmur Mandiri Jaya	Indonesia	CID002503	√
53	Tin	PT Babel Inti Perkasa	Indonesia	CID001402	√
54	Tin	PT Babel Surya Alam Lestari	Indonesia	CID001406	√
55	Tin	PT Bangka Prima Tin <sup>2</sup>	Indonesia	CID002776	√
56	Tin	PT Bangka Serumpun	Indonesia	CID003205	√
57	Tin	PT Bangka Tin Industry <sup>2</sup>	Indonesia	CID001419	√
58	Tin	PT Belitung Industri Sejahtera <sup>3</sup>	Indonesia	CID001421	√
59	Tin	PT Bukit Timah <sup>1</sup>	Indonesia	CID001428	√
60	Tin	PT Cipta Persada Mulia <sup>1</sup>	Indonesia	CID002696	√
61	Tin	PT DS Jaya Abadi <sup>2</sup>	Indonesia	CID001434	√
62	Tin	PT Inti Stania Prima <sup>2</sup>	Indonesia	CID002530	√
63	Tin	PT Karimun Mining <sup>2</sup>	Indonesia	CID001448	√
64	Tin	PT Lautan Harmonis Sejahtera <sup>2</sup>	Indonesia	CID002870	√
65	Tin	PT Menara Cipta Mulia	Indonesia	CID002835	√
66	Tin	PT Mitra Stania Prima	Indonesia	CID001453	√
67	Tin	PT Mitra Sukses Globalindo <sup>1</sup>	Indonesia	CID003449	√
68	Tin	PT Panca Mega Persada <sup>3</sup>	Indonesia	CID001457	√
69	Tin	PT Premium Tin Indonesia <sup>2</sup>	Indonesia	CID000313	√
70	Tin	PT Prima Timah Utama	Indonesia	CID001458	√
71	Tin	PT Rajawali Rimba Perkasa	Indonesia	CID003381	√
72	Tin	PT Rajehan Ariq <sup>2</sup>	Indonesia	CID002593	√
73	Tin	PT Refined Bangka Tin	Indonesia	CID001460	√
74	Tin	PT Sariwiguna Binasentosa	Indonesia	CID001463	√
75	Tin	PT Stanindo Inti Perkasa	Indonesia	CID001468	√
76	Tin	PT Sukses Inti Makmur <sup>1</sup>	Indonesia	CID002816	√
77	Tin	PT Sumber Jaya Indah <sup>2</sup>	Indonesia	CID001471	√
78	Tin	PT Timah Nusantara <sup>1</sup>	Indonesia	CID001486	√
79	Tin	PT Timah Tbk Kundur	Indonesia	CID001477	√
80	Tin	PT Timah Tbk Mentok	Indonesia	CID001482	√
81	Tin	PT Tinindo Inter Nusa	Indonesia	CID001490	√
82	Tin	PT Tommy Utama <sup>2</sup>	Indonesia	CID001493	√

83	Tin	Resind Industria e Comercio Ltda.	Brazil	CID002706	√
84	Tin	Rui Da Hung	Taiwan, Province of China	CID001539	√
85	Tin	Soft Metais Ltda.	Brazil	CID001758	√
86	Tin	Super Ligas <sup>1</sup>	Brazil	CID002756	√
87	Tin	Thai Nguyen Mining and Metallurgy Co., Ltd.	Vietnam	CID002834	√
88	Tin	Thaisarco	Thailand	CID001898	√
89	Tin	Tin Smelting Branch of Yunnan Tin Co., Ltd. <sup>3</sup>	China	CID002180	√
90	Tin	Tin Technology & Refining	United States of America	CID003325	√
91	Tin	Tuyen Quang Non-Ferrous Metals Joint Stock Company <sup>3</sup>	Vietnam	CID002574	√
92	Tin	VQB Mineral and Trading Group JSC <sup>2</sup>	Vietnam	CID002015	√
93	Tin	White Solder Metalurgia e Mineracao Ltda.	Brazil	CID002036	√
94	Tin	Yunnan Chengfeng Non-ferrous Metals Co., Ltd.	China	CID002158	√
95	Tin	Yunnan Yunfan Non-ferrous Metals Co., Ltd.	China	CID003397	√
1	Tungsten	Chongyi Zhangyuan Tungsten Co., Ltd.	China	CID000258	√
2	Tungsten	Ganzhou Huaxing Tungsten Products Co., Ltd.	China	CID000875	√
3	Tungsten	Japan New Metals Co., Ltd.	Japan	CID000825	√
4	Tungsten	Xiamen Tungsten (H.C.) Co., Ltd.	China	CID002320	√
5	Tungsten	Xiamen Tungsten Co., Ltd.	China	CID002082	√
Country of Origin May Include		Andorra, Antigua and Barbuda, Argentina, Armenia, Australia, Austria, Azerbaijan, Bahamas, Bahrain, Barbados, Belarus, Belgium, Benin, Benin, Bolivia (Plurinational State of), Bosnia & Herzegovina, Botswana, Brazil, Bulgaria, Burkina Faso, Burundi, Cameroon, Canada, Cayman Islands, Chile, Chile, China, Colombia, Congo, Democratic Republic of the, Costa Rica, Cote d'Ivoire, Cuba, Curacao, Cyprus, Czechia, Denmark, Dominica, Dominican Republic, Ecuador, Egypt, El Salvador, Eritrea, Estonia, Ethiopia, Fiji, Finland, France, French Guiana, Gabon, Georgia, Germany, Ghana, Ghana**, Greece, Grenada, Guatemala, Guinea, Guyana, Haiti, Honduras, Hong Kong, Hungary, Iceland, India, Indonesia, Ireland, Israel, Italy, Ivory Coast, Japan, Jordan, Kazakhstan, Kenya, Kuwait, Kyrgyzstan, Laos, Latvia, Lebanon, Liberia, Liechtenstein, Lithuania, Luxembourg, Macau, Madagascar, Malaysia, Mali, Malta, Mauritania, Mexico, Monaco, Mongolia, Montenegro, Morocco, Mozambique, Myanmar, Namibia, Netherlands, New Zealand, Nicaragua, Niger, Nigeria, Norway, Oman, Pakistan, Panama, Papua New Guinea, Peru, Philippines, Poland, Portugal, Puerto Rico, Romania, Russian Federation, Rwanda, Saint Kitts and Nevis, San Marino, Saudi Arabia, Senegal, Serbia, Sierra Leone, Singapore, Sint Maarten, Slovakia, Slovenia, Solomon Islands, South Africa, South Korea, Spain, Sri Lanka, St Lucia, St Vincent and Grenadines, Sudan, Suriname, Swaziland, Sweden, Switzerland, Taiwan, Tajikistan, Tanzania, Thailand, Togo, Trinidad and Tobago, Tunisia, Turkey, Turks and Caicos, Uganda, Ukraine, United Arab Emirates, United Kingdom of Great Britain and Northern Ireland, United States of America, Uruguay, Uzbekistan, Venezuela, Vietnam, Zambia, Zimbabwe			

<sup>1</sup> Active

<sup>2</sup> Ceased operations

<sup>3</sup> Non-conformant



## Future Steps to Mitigate Risks

The due diligence steps previously described for both an upstream and downstream company will be used for future reporting periods to mitigate risk and improve our due diligence. KEMET will continue:

- Engaging suppliers of 3TG to improve the content of their responses. This includes a conflict minerals flow down clause as well as new supplier or new material conflict minerals provisions as part of our “on boarding process.”
- Working through the RMI to accurately identify new or existing smelters and refiners and increase their participation in the RMAP.
- Working with the OECD and relevant trade associations to define and improve best practices.
- Sourcing our upstream materials from conflict-free validated mines which utilize traceability schemes to ensure complete chain of custody and maintain our RMAP.
- Enhance our validation process to include review of additional risks related to applicable laws and regulations.
- Including cobalt in our due diligence practices related to responsible sourcing.

By conducting these supply chain exercises during each Reporting Period in accordance with our Supply Chain Policy, KEMET will continue our efforts to mitigate the risk that the necessary conflict minerals benefit armed groups and improve our due diligence.